

**INFORMATION DISCLOSURE TRANSMITTAL**

Docket No. C0011/7005

Applicant: Skott C. Klebe, Woodrow W. Johnson and John Boyea  
Serial No: 10/621,705  
Filed: July 17, 2003  
For: METHOD AND APPARATUS FOR SECURE DELIVERY AND RIGHTS  
MANAGEMENT OF DIGITAL CONTENT AT AN UNSECURE SITE  
Examiner: M. Smithers  
Art Unit: 2137

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

**Enclosures**

- A form PTO-1449 listing this information is attached  
 Copies of documents cited, other than U.S. patents and published U.S. patent applications, are attached.  
 Copies of the cited documents are not enclosed because .

**Fees**

- This statement is filed before the later of: (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application; (2) the mailing date of a first office action on the merits; or (3) the mailing date of a first office action on the merits following the filing of a Request for Continued Examination. No fee is due.  
 This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, and  
 The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, or  
 The following certification is made:  
 each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or  
 no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.  
 This statement is filed on or before payment of the issue fee, the submission fee of

\$180.00 under 37 CFR §1.17(p) is enclosed, *and*

- each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**Payment**

- The total fee is being paid by an electronic funds transfer.
- A check in the amount of the submission fee is enclosed.
- Charge Account No. 50-3969 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

**Authorization to Charge Additional Fees**

- The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 50-3969.

Respectfully submitted,

/paul e. kudirka/

Date: 2007-09-11

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

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 Art Unit: 2137

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
		2002/0146118	A1	DiSanto, Frank J.; et al.	10/10/2002
		2004/0236956	A1	Shen, Sheng Mei; et al.	11/25/2004
		5,787,179	B1	Ogawa, et al.	7/28/1998
		7,095,853	B1	Morishita	8/22/2006
		7,171,567	B1	Bayer, et al.	1/30/2007
		2002/0013772	A1	Peinado	1/31/2002
		2002/0073033	A1	Sherr, Scott Jeffrey; et al.	6/13/2002
		5,541,993	B1	Fan, et al.	7/30/1996
		5,991,399	B1	Graunke, et al.	11/23/1999
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		6,938,047	B1	Kryeziu	8/30/2005
		6,996,544	B1	Sellars, et al.	2/7/2006
		7,062,045	B1	Riddick, et al.	6/13/2006
		7,103,574	B1	Peinado	9/5/2006
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**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
		WO	2002/100037	A1	Matsushita Electric Industrial Co., Ltd.	12/12/2002	<input type="checkbox"/>
		WO	01/41018	A2	IBOOKS.com	6/7/2001	<input type="checkbox"/>
		WO	01/09703	A1	Harris Interactive, Inc.	2/8/2001	<input type="checkbox"/>
		EP	1 372 055	A2	Microsoft Corp.	12/17/2003	<input type="checkbox"/>
							<input type="checkbox"/>

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		VON FABER, Eberhard, et al., "The Secure Distribution of Digital Contents", debis IT Security Services, pages 16-22, Bonn, Germany	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered
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